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**Wild vervet monkeys copy alternative methods for opening an artificial fruit**

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## ABSTRACT

Experimental studies of animal social learning in the wild remain rare, especially those that employ the most diagnostic tests in which alternative means to complete naturalistic tasks are seeded in different groups. We applied this approach to wild vervet monkeys (*Chlorocebus aethiops*) using an artificial fruit ('vervetable') opened by either lifting a door panel or sliding it left or right. In one group a trained model lifted the door and in two others the model slid it either left or right. Members of each group then watched their model before being given access to multiple baited vervetables with all opening techniques possible. Monkeys displayed a significant tendency to use the seeded technique on their first opening and over the course of the experiment. The option preferred in all 13 monkey's first successful manipulation session was highly correlated with the proportional frequency of that option they had previously witnessed. The social learning effects thus documented are not consistent with stimulus enhancement insofar as the same door knob was grasped for either technique. Results thus suggest that through imitation, emulation or both, new foraging techniques will spread across groups of wild vervet monkeys and potentially create foraging traditions.

**Keywords:** field experiments, social learning, imitation, cultural transmission, primates, vervet monkeys

**INTRODUCTION**

Social learning, traditions and cultural transmission in primates and other animals have received much attention in recent years (Hoppitt and Laland 2008; Kendal et al. 2010a; Whiten et al. 2011; Nielsen et al. 2012). A key methodological advance in the identification of social learning and dissection of the alternative processes underlying it occurred with the development of the ‘two-action’ method, in which experimental subjects witness a model proficient in using either of two different actions to manipulate an object such as an ‘artificial fruit’ (Whiten et al. 1996) and extract a reward from it. If subjects are subsequently more likely to match the alternative they witnessed in their own attempts at the task, we obtain evidence not only of the operation of social learning per se, but also some details of what is learned, as entailed by the differences in the witnessed alternatives that subjects subsequently match. After being first used by Dawson and Foss (1965), the two-action method has been employed effectively in numerous studies to identify the social learning of alternative actions such as the use of foot or beak to operate a foraging device by pigeons (Zentall et al. 1996); or blue tits’ piercing or flipping a foil to access a reward (Aplin et al. 2013); alternative techniques to open artificial food objects by marmosets (Bugnyar and Huber 1997; Voelkl and Huber 2000) and alternative sequences of constituent behavioural elements by chimpanzees (Whiten 1998).

Such two-action studies have typically been dyadic, particularly in the early years of the approach, with an isolated subject learning from a single trained conspecific (Zentall 2012). More recently, the two-action concept has been enlisted in the design of experiments where the interest is in the social transmission and spread of action patterns in groups and broader populations

(Galef and Allen 1995). Among primates, such spread has been shown to occur with substantial fidelity in captive groups of capuchin monkeys (Dindo et al. 2008, 2009), chimpanzees (Whiten et al. 2005, 2007; Hopper et al. 2007) and vervet monkeys (van de Waal and Whiten 2012; van de Waal et al. 2013a).

Such studies remain rare in the wild (Whiten and Mesoudi 2008; Thornton and Clutton-Brock 2011), where they are inherently more difficult to engineer because there is less scope to control what an observer monkey witnesses. For primates, such experiments have been conducted recently in research by Kendal et al. (2010b), van de Waal et al. (2010), van de Waal and Bshary (2011), Schnoell and Fichtel (2012) and Gunhold et al. (2014a, 2014b). In the van de Waal studies, boxes acting as ‘artificial fruits’ were presented to wild vervet monkeys. A door at one end of the box could be opened by lifting it and a differently coloured door at the other end could be opened by sliding it, to obtain food inside. These two alternatives were seeded in different groups by initially locking the box so that only one opening technique could be used, until one dominant individual mastered it. Then both methods were made available to the rest of the group. This study demonstrated social learning from the initial model, but only when the model was a female monkey and not when it was the dominant male. However, because the different actions were modeled on different doors on the two ends of the box, the results may have reflected only local enhancement (Thorpe 1963), where the observer is simply more likely to focus their efforts on the side of the box that the model was manipulating.

To test for social learning that goes beyond local enhancement, and instead requires matching to what a subject witnessed either by imitation (defined by Whiten and Ham (1992) as ‘learning

some aspect(s) of the intrinsic form of an act' from another individual) or emulation (replicating only the results of what the subject witnessed, such as the movements of parts of the object manipulated: Wood 1989; Tomasello 1990), we used the 'artificial fruit' (Whiten et al. 1996) we have dubbed a 'vervetable' and already employed successfully in studies with captive vervet monkeys, who discriminated and tended to copy whichever option they had witnessed (van de Waal et al. 2013a). The vervetable incorporates a single door that affords opening through alternative means, all of which involve contact with the same locus (a small knob in the centre of the door) such that local enhancement cannot explain any matching behaviour recorded. The door can be slid to either side, or alternatively lifted up, to gain access to the food reward inside. We seeded each of the different techniques (slide left, slide right or lift) in one adult female in each of three wild groups and investigated whether there was evidence for social learning of these alternative techniques by other group members, which would thus implicate emulation or imitation, and hence go beyond the findings of local enhancement found in wild vervet monkeys (van de Waal et al. 2010; van de Waal and Bshary 2011). We also tested whether the proportion of either of the two techniques that individuals observed being performed by any or all other successful group members (i.e. not only the model demonstrations) predicted the technique first used, as well as that preferentially employed over the whole experimental set-up. Such a correlation was reported by Perry (2009) in relation to white-fronted capuchins (*Cebus capucinus*) adopting whichever of two methods of natural fruit processing they had observed most; here, we address this question for the two techniques experimentally seeded in the groups studied.

## METHODS

### *Study animals*

Experiments were conducted by EW, with the help in each experiment of one of several staff members of the Inkawu Vervet Project (IVP), between 26 April 2012 and 3 October 2013 on three groups of wild vervet monkeys (*Chlorocebus aethiops*). All three groups ('Ankhase' (AK), 'Baie Dankie' (BD) and 'Noha' (NH)) are part of the Inkawu Vervet Project conducted in a 12,000-hectares private game reserve, "Mawana", in KwaZulu Natal, South Africa (S 28° 00.327; E 031° 12.348). The vegetation of the study site is classed as Savannah biome, characterized by areas of grasslands with dispersed singular or clusters of trees forming a mosaic with the typical savannah thornveld, bushveld and thicket patches and the home range sizes of our studied groups of vervet monkeys approximated 160 hectares (van de Waal et al. 2013b). The monkeys were totally wild before habituation started in 2010 and had only human contact with hunters and/or poachers within their territories. Experiments were mainly conducted in winter when food resources are scarce (documented in online material of van de Waal et al. 2013b), so monkeys were motivated to participate.

All participating monkeys lived in groups of 30 to 48 individuals, typically composed of a few adult males with many adult females and juveniles (detailed group composition in Table 1). The hierarchy within each group has been documented by field assistants on the basis of the outcomes of conflicts between pairs of individuals and priority of access to food sources. Rank is typically stable between adult female vervets and the males in each group, but there were some changes in the hierarchies during the study, with sub-adult females giving birth to their first infant and

entering the adult female hierarchy as well as some changes in the male hierarchy, often resulting from migrations.

All individuals were identified by their faces. A recognition file with portrait photographs and specific individual features (scars, colour, etc) was constructed for each group. Monkeys were named with letter codes. Matriline membership assignment was based on behavioural data: mothers nursing infants and adult females frequently being close to and tolerant of juveniles in feeding and resting contexts were taken as evidence for matriline membership.

### *Experimental procedures*

The experimental apparatus was that used in our earlier studies with vervets in sanctuaries (van de Waal et al. 2013a) and consisted of an opaque plastic box, 10x10x10cm, with a door on one side that could be slid to either side or instead lifted up to access the contents (Fig.1a-b, video 1-2). The food reward inside was 1/20<sup>th</sup> of an apple, that all monkeys were used to in other experimental settings and captures. The box thus acted as an ‘artificial fruit’ (Whiten et al. 1996), here called a ‘vervetable’, designed for testing the social learning of alternative opening techniques. The alternative opening methods resemble those of a ‘doorian’ fruit used in previous studies with chimpanzees (Horner et al. 2006) and capuchin monkeys (Dindo et al. 2008, 2009), but the slide door in the vervetable could additionally move to either side (whereas it was restricted to one side in the ‘doorian’) and was larger than that used in the capuchin study. Holes on the sides of the vervetable allowed it to be anchored to the ground using rope and camping hooks.



The experiments took place at sunrise close to a sleeping site. Experimental boxes (one during demonstrations, four to eight during experimental phases) were anchored to the ground. The spacing between the boxes was a minimum of half a meter and orientation was variable depending on vegetation density and visibility. The experimental procedure began with a step-wise training phase in which the individual most focused on the task could learn only one opening solution (either lift, slide to the right or slide to the left) by trial-and-error because other opening solutions were physically blocked through the tightening of appropriately-located bolts, limiting opening to one technique in each group. Once an individual mastered the opening technique it was allowed to perform over 50 openings ('demonstrations') and until a minimum of half of the group observed the demonstration from a 5m radius of the box (AK group 54 demonstrations, 17 observers; BD group 71 demonstrations, 23 observers; NH group 70 demonstrations, 24 observers). Demonstrations took place over an average of 9 days (range 6-12) with an average of 8 demonstrations per day (range 2-12), each time involving only a single vervet to ensure its exclusive access and performance of a specific opening technique, alternative solutions remaining blocked. The remainder of the group were able to watch these demonstrations. By fixing the number of demonstrations to at least 50 we ensured that a minimum of 2/3 individuals of each group observed an opening at least once. Females were preferred models, as van de Waal and colleagues (2010) found that in the wild, adult female vervets are more likely to be watched and learned from than males. We first attempted to attract the dominant female of each group as a model by presenting the baited box open, in proximity to her. Where there was a lack of response, we trained the most food motivated and boldest adult

female of each group who approached the vervetable, namely Nkosikasi (4<sup>th</sup> in rank) in AK, Asis (3<sup>rd</sup> in rank) in BD and Paris (4<sup>th</sup> in rank) in NH.

After the demonstration phase, an experimental phase consisted of 15 days in each of the three groups, with up to eight vervetables offered at one time. The vervetables used during the demonstration phase were also used during the experimental phase. We initially offered four boxes but quickly decided to switch to eight as an optimal number to spread access across the group while being able to monitor all boxes; in this, number of sessions and boxes was kept comparable across all groups. We refer to each day of testing as a ‘session’, during which a monkey might achieve one or several openings. All vervetables were unlocked so that any technique could now be used. All monkeys were free to interact with the vervetables repeatedly within the constraints of the social group dynamics, such as rank. As a result, the total number of openings per monkey naturally varied. Once any ververtable was opened, an experimenter slowly approached and re-baited it. All interactions with the vervetables were recorded using one video camera during the demonstration phase and two during the experimental phase, because the latter involved multiple vervetables to prevent monopolisation. The average duration of a demonstration session was of 29 minutes and the average duration of an experimental session was of 1hour 21min.

#### *Data coding, analyses and statistics*

For each manipulation of a vervetable we recorded which monkey performed it, which technique was used (lift, slide to the right, slide to the left) and whether the monkey managed to open the door successfully and gain the reward or not. Most openings were coded live in the

field, with the few openings that could not be followed during the experiment coded later from videos, concerning either the identity of the manipulating monkey or the opening technique. We found that all codings were unambiguous as they involved quite different manipulations of the apparatus (lift, slide right or left), and such measures were always taken by two coders (EW and one other staff member of IVP); inter-observer reliability was 100%.

We also coded the ID of each monkey who was less than 5m from a successful manipulation and attentive to the opener. The attention of these individuals was considered positive if the monkey was otherwise inactive and had its head orientated towards the manipulator. These data were coded in the field by EW and one other staff member of IVP; only if both observers had coded the monkey as attentive was it taken in account for the analyses.

We analysed the effects of monkeys observing ‘lift’ versus ‘slide’ techniques and ‘slide right’ versus ‘slide left’ techniques separately in terms of three principal hypotheses regarding social learning. First, we used R (R Core Team 2013) to perform two-tailed permutation tests with 10 000 permutations to test the prediction that the first successful technique used by each individual would be similar to the technique introduced into their group (whether because they learned from the model or another monkey). Second, focusing on the overall difference between groups’ techniques, we used IBM SPSS Statistics 21.0 (SPSS Inc., Chicago, IL, U.S.A.) to create binomial Generalized Linear Models (GLM) with a logit link to test whether the behaviour of individuals in the different groups was predicted by the technique used by their initial models and to calculate the correlation between attention and actions used.

*Ethics guidelines*

We adhered to the "Guidelines for the Use of Animals in Research" of the Association for the Study of Animal Behaviour. Our experiments were approved by the relevant local authority, Ezemvelo KZN Wildlife, South Africa; by the funder, Swiss National Science Foundation and by the Ethics Committee of the School of Psychology & Neuroscience, University of St Andrews, UK. Our set-up involved potential opportunities for feeding competition. However, as we were mainly interested in individuals' first manipulation, we offered multiple test boxes, which minimised any conflict. We also kept the amount of food relatively small (1/20 of an apple in each trial) both in the demonstration and experimental phases.

## RESULTS

Across all three groups, 49 out of 121 individuals approached the vervet table and touched the box ~~or the door~~. Seventeen individuals, out of 121 in the three groups, successfully opened a vervet table at least once. Only eight of the 32 unsuccessful manipulators touched the door during their manipulation. Excluding the models, it was mainly juveniles that successfully opened the apparatus (23% of adults and 77% of juveniles). We removed one individual from our analysis, 'Porto', as he interacted and successfully opened the apparatus during the demonstration phase, being tolerated by the model 'Paris', his mother; thus our sample size of successful openers, apart from the models, was 13. Individuals younger than one year old never participated in the experiments. Evidence for social learning was evaluated in the following three analyses (see ESM for data set).

*Testing for social learning: first successes*

On their first opening, 11 out of 13 group members adopted the technique their model had used. We found that members of the ‘slide’ groups were significantly more likely to use ‘slide’ in their first success than members of the ‘lift’ groups (Exact permutation test:  $N=13$ ,  $p=0.038$ , Fig. 2). The difference between the ‘slide-left’ and the ‘slide-right’ groups regarding the direction of movement on first ‘slide’ success was not significant (Exact permutation test:  $N=6$ ,  $p=0.4$ ). However, only six monkeys in the two slide groups, three per group, solved the vervetable (2 sliding to the right and 1 to the left in each group), limiting the power of the test.

*Testing for social learning: differences between groups across all trials*

To evaluate the strength of any socially learned differences between groups we fitted a GLM with the number of successful ‘lift’ actions across all sessions relative to the total number of successes for each individual as the dependent variable and the technique used by the model, either ‘lift’ or ‘slide’ as a single factor. We found that individuals who had been exposed to a model using ‘lift’ were significantly more likely to use ‘lift’ than if the model had used ‘slide’ (Wald  $\chi^2_1 = 28.28$ ,  $N=13$ ,  $P < 0.001$ , Fig. 3).

We used the same technique to fit a GLM with the number of successful ‘slide-right’ actions across all sessions relative to the total number of successful ‘slide’ actions for each individual as the dependent variable and the technique used by the model, either ‘lift’, ‘slide-right’ or ‘slide-left’ as a single factor. In this analysis, the group in which the models had been trained to perform

‘lift’ are used as a contrast because these models provided no information regarding the direction in which the door could slide. We found that the technique demonstrated had a small but significant main effect (Wald  $\chi^2_2=6.35$ ,  $N=13$ ,  $P=0.042$ ) but that the effect resulted from the fact that individuals who had not been exposed to a model using ‘slide’ were more likely to use a ‘slide-left’ technique than individuals who had seen a ‘slide-left’ (Wald  $\chi^2_1=3.84$ ,  $N=8$ ,  $P=0.050$ ) or a ‘slide-right’ model in their group (Wald  $\chi^2_1=3.58$ ,  $N=10$ ,  $P=0.058$ ). There was no significant difference between ‘slide-left’ and ‘slide-right’ (Wald  $\chi^2_1=1.36$ ,  $N=8$ ,  $P=0.24$ ).

*Does the proportion of ‘lift’ versus ‘slide’ witnessed overall predict the option adopted?*

Building on approaches developed in a two-action social diffusion study in young children (Whiten and Flynn 2010: compare Figures 3 and 4 therein), Figure 4 shows each successive monkey’s openings during their first successful session of ‘lift’ versus ‘slide’ actions before the next monkey opened a verve table, for each of the groups (Fig. 4a = Baie Dankie group, 4b = Ankhase group, 4c = Noha group). Arrows indicate the putative direction of information flow, because these arrows originate from each monkey that the focal individual had watched prior to its first success, and the proportion of ‘lift’ versus ‘slide’ actions witnessed as a result is indicated in each case. These data permitted us to address whether the proportion of ‘lift’ witnessed overall predicted a corresponding bias in an individual’s actions.

We found that the percentage of the ‘lift’ technique witnessed overall was significantly correlated with the percentage of ‘lift’ that individuals used during their first successful session (Spearman

correlation,  $N=13$ ,  $\rho=0.76$ ,  $P=0.003$ ; Fig.5a) as well as to the percentage of ‘lift’ used during the whole experiment (Spearman correlation,  $N=13$ ,  $\rho=0.808$ ,  $P=0.001$ , Fig.5b).

## DISCUSSION

Our results provide evidence of social learning in wild vervet monkeys that discriminate between different ways to process an artificial fruit item. We observed matching of whichever of two alternative methods had been experimentally seeded in a participant’s group, both in the first opening and in overall behaviour subsequently. Overall, there was a strong positive correlation between techniques witnessed by each individual and the technique they adopted. This latter result took into account occurrences when individuals sometimes saw techniques different to those seeded, and thereby permitted a finer-grain assessment of actions done in relation to those witnessed. Here we address three principal implications of our results.

### 1. Two-action tests in the wild

Field experiments remain rare, but already cover taxa ranging from fish to birds and suricates (Helfman and Schultz 1984; Langen 1996; Lefebvre 1986; Thornton and Malapert 2009; Warner 1988; for a review see Reader and Biro 2010). Such experiments on primates have only recently been completed, demonstrating social learning in the wild (lemurs: Kendal et al. 2010b; Schnoell et al. 2014; vervet monkeys: van de Waal et al. 2010; van de Waal and Bshary 2011; van de Waal et al. 2012; van de Waal et al. 2013b; van de Waal et al 2014; marmosets: Gunhold et al. 2014a, 2014b). However two-action experiments in wild primates remain even more uncommon: two on

lemurs (Kendal et al. 2010b; Schnoell and Fichtel 2012; Schnoell et al. 2014), two on marmosets (Gunhold et al. 2014a, 2014b) and one on vervet monkeys (van de Waal et al 2010), their designs limited in identifying the social learning mechanism implicated. As Schnoell and Fichtel (2012) in a study incorporating a door that could either be pulled or pushed according to where lemurs put their muzzles, acknowledge that: ‘...the exact learning mechanism could unfortunately not be determined with this experimental setting’. Kendal et al. (2010b) used a similar set-up. By contrast, in our study monkeys grasped the same knob on a door to perform either ‘slide’ or ‘lift’, so that the copying we observed was not explicable by local enhancement but rather concerned alternative movements, thus implicating either imitation or emulation We believe that our results and those recently reported for marmosets by Gunhold et al. (2014a) provide the first such evidence derived from two-action field experiments with wild primates.

## 2. Correlations between relative frequency seen and relative frequency done

Our detailed data on what each individual had been likely to see before it worked on our foraging task (Fig. 4) permitted computations of correlations that provide fine grain support of the link between observation and learning a new task. These findings are consistent with observational field evidence for primates suggesting vertical transmission, where tolerance and close observations allow juveniles to adopt their mother’s foraging methods (Lonsdorf et al. 2004; Perry 2009; Jaeggi et al. 2010). Earlier, we have also shown experimentally that wild vervet monkeys display vertical social transmission in both food preference (van de Waal et al. 2013b) and food handling (van de Waal et al. 2014). These social learning responses may reflect



(a) conformity to the preference of a majority of several monkeys watched (Claidière and Whiten 2012); (b) matching the overall total frequency of actions witnessed (Perry 2009); or (c) matching focussed on one key individual such as the mother (van de Waal et al. 2014) or a higher status individual (Horner et al. 2010). We do not yet have enough data to discriminate such alternatives for the task described here, but in many cases individuals watched only one or few others, suggesting a conformity effect may be unlikely.

### 3. Minimal success across the group as a whole

The proportion of individuals in our groups who were successful in the study was low, at only 17 among 121 monkeys. As a result we cannot address the level of granularity in what was learned compared to captive vervets in our earlier study who even copied whether the sliding of the door was to the right or to the left (van de Waal et al. 2013a). What is responsible for the low rates of participation and successful openings? One possibility is that it is linked to model identities. All our models, determined by which individuals approached the task first, were adult females ranked only 3<sup>rd</sup> or 4<sup>th</sup> in the female hierarchy. Van de Waal and colleagues (2010) found selective attention of wild vervets towards dominant females and not towards dominant males in another two-action test and hypothesised that the philopatric sex should be more copied in a foraging task. However, natural observations of gaze during adult vervet monkeys' foraging revealed that adult females are more observed than adult males, irrespectively of their rank (Renevey et al. 2013). The question of whether greater participation would follow actions by alpha females remains open and further investigations are needed.

328

329       We have described a field experiment demonstrating that wild primates may discriminate and  
330 copy alternative means for processing difficult food types, and tracked the initial progress of  
331 social information transfer within the group. These approaches should be applicable to many  
332 other species, and with sufficient sensitivity to the social dynamics of the groups concerned, offer  
333 prospects for deeper analyses of social learning in wild populations in the future.

334

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345

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468

**Table legends:**

**Table 1** Group composition 2012-2013

Males (AM) were scored as adults once they migrated, while females (AF) were scored as adults once they gave birth. Group members that did not fulfil these criteria were scored as juveniles (JU). As some males migrated and some juvenile females gave birth during the study period, we present here average numbers.

**Figure legends**

**Fig. 1** The ‘vervetable’: (a) door being lifted, (b) door being slid to right. For more illustrations of vervetables, see [19] for the captive illustrations

**Fig. 2** Preferences for the technique used by the model on their first success: total number of individuals using ‘lift’ or ‘slide’ on their first success in the groups seeded with a ‘lift’ or ‘slide’ model (grey for ‘slide’ and white for ‘lift’)

**Fig. 3** Probability of performing ‘lift’ versus ‘slide’ across all sessions as a function of what the model was trained to do (white for the one group with ‘lift’ model and grey for the two groups with ‘slide’ model)

**Fig. 4** Inferred information flow through each group: a) group AK, b) group BD, c) group NH. Each column represents one vervet (age class written above the name: adult, subadult, juvenile; and sex as a symbol under the name ♀ = female, ♂ = male) and each row represents a session

day (numbered), with entries diagonally left to right expressing each individual's first opening techniques on the relevant session: on left in white box, number of lifts; on right in grey box, number of slides. The bold frame indicates the most common action in each case. Traced in reverse, arrows track back to show whom an individual had been in a posture to observe before starting to open vervetables, and the relative numbers of 'lift' versus 'slide' they had accordingly apparently witnessed before their first successful opening, so arrow heads indicate inferred information flow. Numbers across the bottom of the diagram show the total frequencies in the whole series of sessions. Stars indicate whether the first action done was lift or slide.

**Fig 5** (a) Correlation between the percentage of the lift technique used during the session when a monkey first successfully opened a vervetable and the percentage of lift actions that individuals witnessed beforehand (b) Correlation between the percentage of lift technique used during the whole experiment and the percentage of lift that individuals witnessed before their first success

## **Video data**

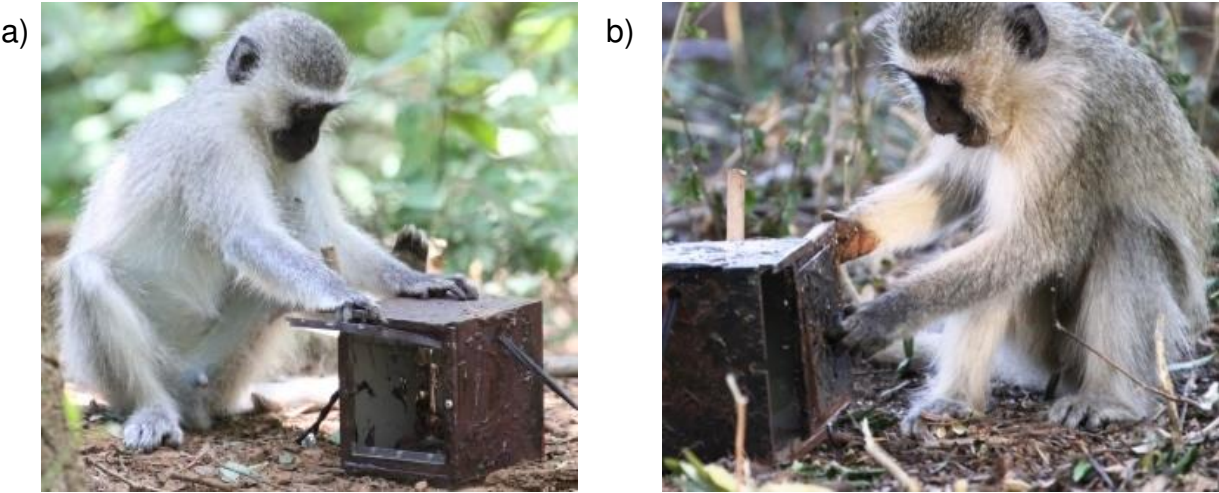
**Video 1** Lift opening of the vervetable during demonstration phase (Baie Dankie group).

**Video 2** Slide to the right opening of the vervetable during demonstration phase (Ankhase group).

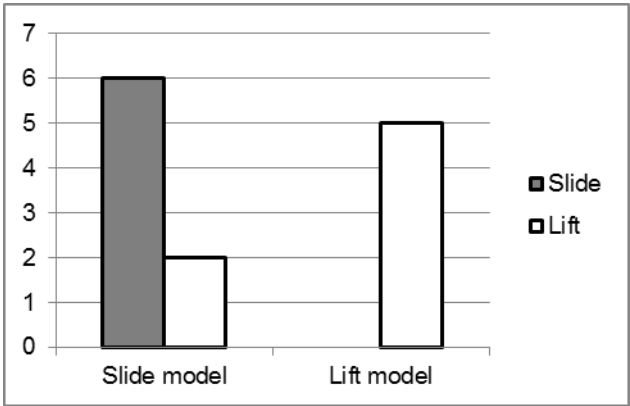
506 **Table 1 :**

Group	AF	AM	JU	total
Ankhase	8	4	21	33
Baie Dankie	12	4	32	48
Noha	11	4	25	40
total	31	12	78	121

508 **Fig. 1**

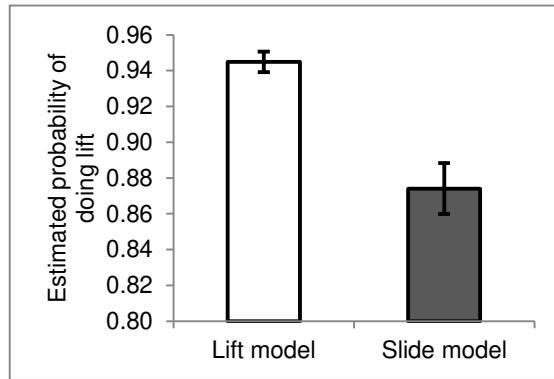


516 **Fig. 2**

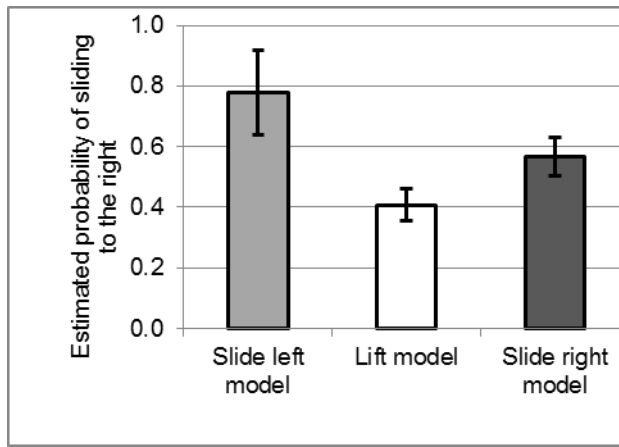


**Fig. 3**

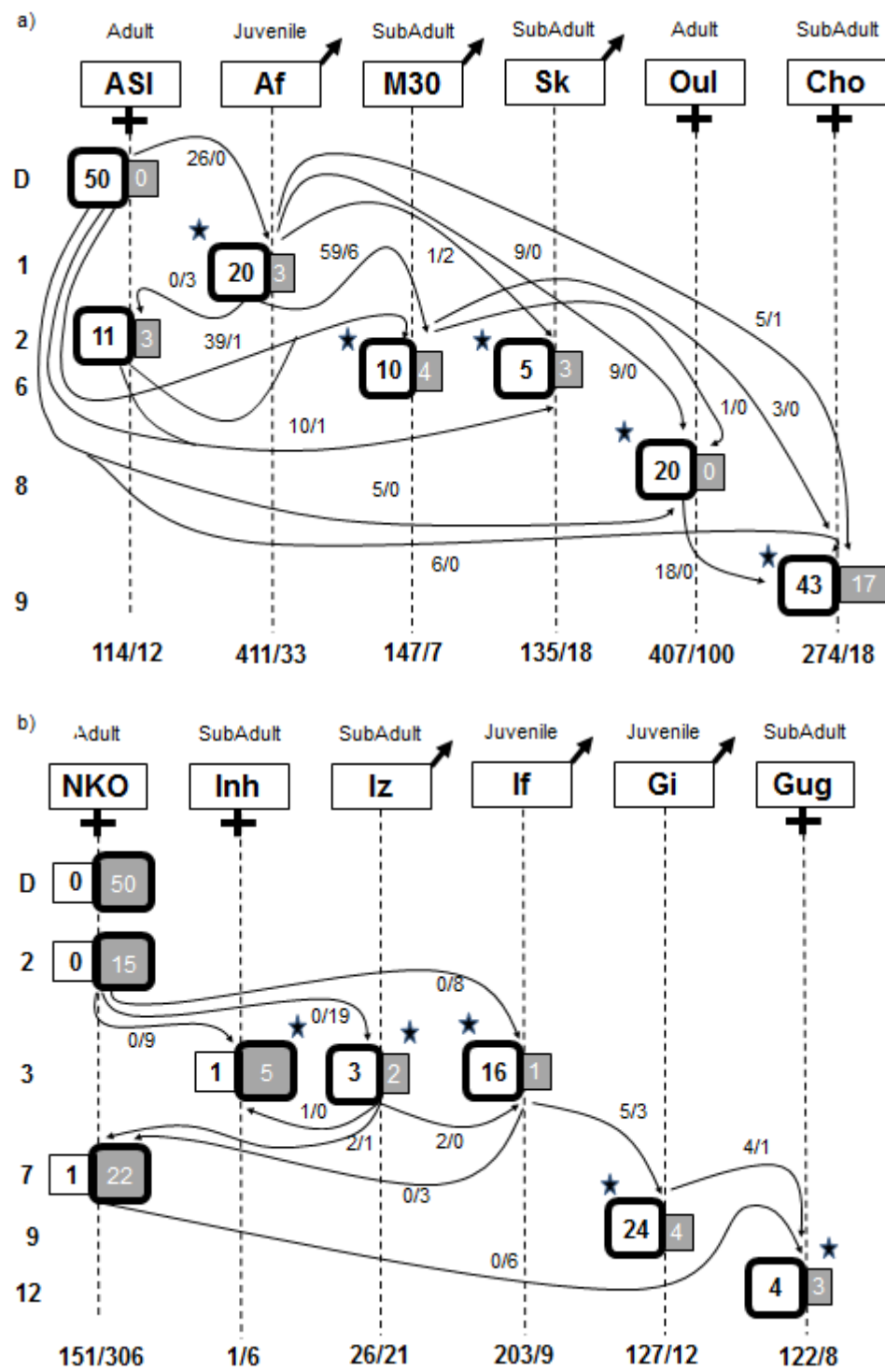
a)

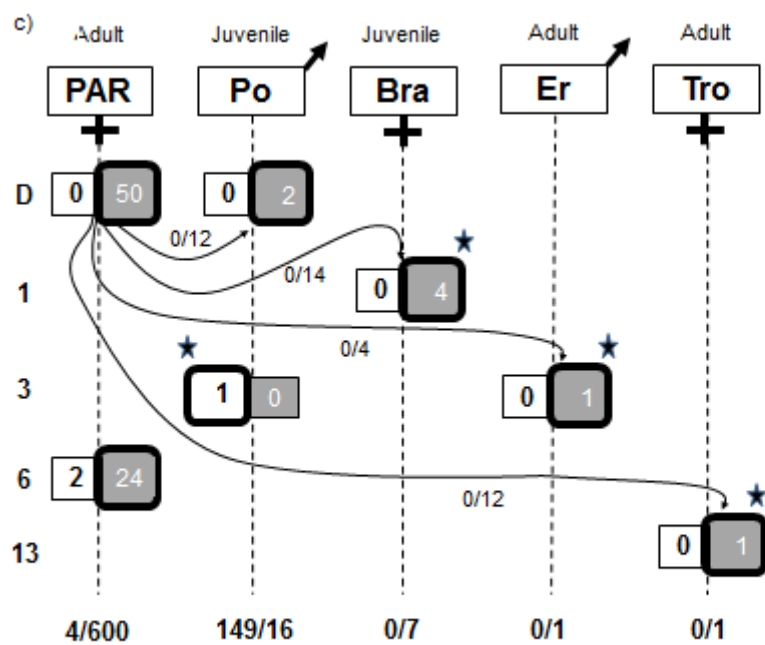


b)



**Fig. 4**





**Fig. 5**

